PATENT APPLICATION

# 03560.002910.1

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)
TAKASHI USHIJIMA, ET AL.	: Examiner: C. Everhart
The following ETTE.	: Group Art Unit: 2825
Appln. No.: Div. of 09/969,780 filed October 4, 2001	)
Filed: September **, 2003	September 22, 2003
For: ASPHERICAL MICROSTRUCTURE, AND METHOD OF FABRICATING THE SAME	; ) :

# MAIL STOP PATENT APPLICATION

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO-1449. Copies of the listed documents should be available in parent Application No. 09/969,780 filed October 4, 2001 (the "780 Application").

# **REMARKS**

The following documents are discussed at the following pages of the specification:

Document	Page(s)
5(1993)-150103 6(1994)-154934	3
7(1995)-104106	4

Abstracts for Japanese Laid-Open Patent Application Nos. 5-150103,

6-154934, and 7-104106 were obtained from a commercial database and should be

available in the '780 Application.

FORMAL MATTERS

No fee is believed due; however, any fee required in connection with this

paper should be charged to Deposit Account 06-1205. A duplicate of this paper is

enclosed.

**CONCLUSION** 

It is respectfully requested that the above information be considered by the

Examiner and that a copy of the enclosed Form PTO-1449 be returned indicating that such

information has been considered.

Applicants' undersigned attorney may be reached in our Washington, D.C.

office by telephone at (202) 530-1010. All correspondence should continue to be directed

to our address given below.

Respectfully submitted,

Attorney for Applicants

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- 2 -

FORM PTO 1449 (modified)  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  LIST OF REFERENCES CITED BY APPLICANT(S)		ATTY DOCKET NO. 03560.002910.1	DIV. OF APPLICATION NO. 09/969,780			
		APPLICANT TAKASHI USHIJIMA, ET AL.				
Submitted: Septen	(Use several sheets if necessary)  nber 22, 2003		FILING DATE September 22, 2003 GROUP 2825		325	
			U.S. PATENT DOCUMENTS			
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	2002/0027300 A1	3/07/02	Hartmann, et al.	264	1.1	
	6,069,350	5/30/00	Ohtsuka, et al.	250	208.1	
	5,853,960	12/29/98	Tran, et al.	430	321	·
	5,040,961	8/20/91	Hamblen, et al.	425	6	
	5,143,659	9/01/92	Hamblen, et al.	264	1.1	
	5,504,302	4/02/96	Hentze, et al.	219	121.12	
		FC	REIGN PATENT DOCUMENTS		- <del></del>	
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
	5-150103	6/18/93	Japan			Abstract
	7-104106	4/21/95	Japan			Abstract
	6-154934	6/03/94	Japan			Abstract
	OTH	ER DOCUMENT(S	) (Including Author, Title, Date, Pertinent Pages, Etc.)			

DATE CONSIDERED

Sheet	1	of	1

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**EXAMINER** 

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.